PATENT COOPERATION TREATY

To:

From the INTERNATIONAL BUREAU

NOTIFICATION CONCERNING TRANSMITTAL OF COPY OF INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (CHAPTER I OF THE PATENT COOPERATION TREATY)

(PCT Rule 44bis.1(c))

CONNELL, Gary, J. Sheridan Ross P.c. 1560 Broadway, Suite 1200 Denver, CO 80202

FEB 1 6 2010 **ETATS-UNIS D'AMERIQU** SHERIDAN ROSS P.C. DOCKETING DEPT.

Date of mailing (day/month/year) 04 February 2010 (04.02.2010)

Applicant's or agent's file reference 5941-65-PUS-CIP-PCT

IMPORTANT NOTICE

International application No. PCT/US2008/070930 International filing date (day/month/year) 23 July 2008 (23.07.2008)

Priority date (day/month/year) 23 July 2007 (23.07.2007)

Applicant

THE REGENTS OF THE UNIVERSITY OF COLORADO et al

The International Bureau transmits herewith a copy of the international preliminary report on patentability (Chapter I of the Patent Cooperation

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

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PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference 5941-65-PUS-CIP-PCT	FOR FURTHER ACTION	See item 4 below
International application No. PCT/US2008/070930	International filing date (day/month/year) 23 July 2008 (23.07.2008)	Priority date (day/month/year) 23 July 2007 (23.07.2007)
International Patent Classification (8tl See relevant information in Form F	n edition unless older edition indicated) PCT/ISA/237	
Applicant THE REGENTS OF THE UNIVER	SITY OF COLORADO	

1.	This international preliminary rep International Searching Authority	port on patentability (Chapter I) is issued by the International Bureau on behalf of the value under Rule 44 bis.1(a).
2.	This REPORT consists of a total	of 10 sheets, including this cover sheet.
		nce to the written opinion of the International Searching Authority should be read as a reference export on patentability (Chapter I) instead.
3.	This report contains indications re	elating to the following items:
	Box No. I	Basis of the report
	Box No. II	Priority
	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
	Box No. IV	Lack of unity of invention
	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
	Box No. VI	Certain documents cited
	Box No. VII	Certain defects in the international application
	Box No. VIII	Certain observations on the international application
4.		mmunicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but nakes an express request under Article 23(2), before the expiration of 30 months from the priority

	Date of issuance of this report 26 January 2010 (26.01.2010)
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Yolaine Cussac
Facsimile No. +41 22 338 82 70	e-mail: pt05.pct@wipo.int

Form PCT/IB/373 (January 2004)

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHOR	YTY		
To: GARY J. CONNELL SHERIDAN ROSS P.C.		PCT	
1560 BROADWAY, SUITE 1200 DENVER, CO 80202		WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY	
			(PCT Rule 43bis.1)
		Date of mailing (day/month/year)	0 6 FEB 2009
Applicant's or agent's file reference 5941-65-PUS-CIP-PCT		FOR FURTHER A	CTION See paragraph 2 below
	nternational filing date	(day/month/year)	Priority date (day/month/year)
1	23 July 2008 (23.07		23 July 2007 (23.07.2007)
International Patent Classification (IPC) or IPC(8) - C12Q 1/68, C40B 30/04, AUSPC - 435/6, 435/7.23, 506/9	both national classifica A61P 35/00 (2008.0	tion and IPC 04)	
Applicant THE REGENTS OF THE	UNIVERSITY OF	COLORADO	
1. This opinion contains indications relati	_	ms:	
Box No. I Basis of the opin	nion		
Box No. II Priority			and industrial applicability
k=3		ard to novelty, inventiv	e step and industrial applicability
Box No. IV Lack of unity of			de anti-de anti-de iller
Box No. V Reasoned statem citations and exp	nent under Rule 43 <i>bis</i> . I planations supporting s	(a)(i) with regard to now uch statement	velty, inventive step or industrial applicability;
Box No. VI Certain docume	nts cited		
Box No. VII Certain defects	in the international app	lication	
Box No. VIII Certain observa	tions on the internation	al application	•
2. FURTHER ACTION			
If a demand for international prelimi			be considered to be a written opinion of the pply where the applicant chooses an Authority
other than this one to be the IPEA and opinions of this International Searching	d the chosen IPEA has ng Authority will not be	so considered.	nai Buleau under Kule 66, 1515(6) that written
If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.			
For further options, see Form PCT/IS	,		:
3. For further details, see notes to Form	PCT/ISA/220.		
Name and mailing address of the ISA/US	Date of completion o	f this opinion	Authorized officer:
Mail Stop PCT, Attn: ISA/US Commissioner for Patents	31December 20		. Lee W. Young
P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	3 (2000)1100. 20	, (- · · · - · · · · · · · · · · · · ·	PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774
- 200000000 110. 011 210 0401			

Form PCT/ISA/237 (cover sheet) (April 2007)

PCT/US2008/070930 06.02.2009

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 08/70930

Box No. I	Basis of this opinion
1 With	regard to the language, this opinion has been established on the basis of:
1. WILI	the international application in the language in which it was filed.
	a translation of the international application into which is the language of a
ш	translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.	This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))
	regard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been ished on the basis of:
a. ty	pe of material
Ď	a sequence listing
	table(s) related to the sequence listing
b. fo	ormat of material
	оп рарег
	in electronic form
4.	contained in the international application as filed filed together with the international application in electronic form furnished subsequently to this Authority for the purposes of search In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
S Addi	tional comments:
1	
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PCT/US2008/070930 06.02.2009

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

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Box No. 11	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
	ons whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially have not been examined in respect of
	the entire international application
<u> </u>	13-22 and 35-39
	claims Nos.
because	:
	the said international application, or the said claims Nos relate to the following subject matter which does not require an international search (specify):
-	•
\square	the description, claims or drawings (indicate particular elements below) or said claims Nos. 13-22 and 35-39
	are so unclear that no meaningful opinion could be formed <i>(specify)</i> : 22 and 35-39, because they are dependent claims and are not drafted in accordance with the second and third sentences of
Rule 6.4(a)	
	the claims, or said claims Nos are so inadequately supported
	by the description that no meaningful opinion could be formed (specify):
i ·	
	13-22 and 35-39
	no international search report has been established for said claims Nos.
	a meaningful opinion could not be formed without the sequence listing; the applicant did not, within the prescribed time limit:
	furnish a sequence listing on paper complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Searching Authority in a form and manner acceptable
	to it. furnish a sequence listing in electronic form complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Searching Authority in a form and manner acceptable
	to it. pay the required late furnishing fee for the furnishing of a sequence listing in response to an invitation under
	Rule 13ter. I(a) or (b).
	a meaningful opinion could not be formed without the tables related to the sequence listings; the applicant did not, within the prescribed time limit, furnish such tables in electronic form complying with the technical requirements provided for in Annex C-bis of the Administrative Instructions, and such tables were not available to the International Searching Authority in a form and manner acceptable to it.
	the tables related to the nucleotide and/or amino acid sequence listing, if in electronic form only, do not comply with the technical requirements provided for in Annex C-bis of the Administrative Instructions.
	See Supplemental Box for further details.

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

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Box No. IV Lack of unity of invention
1. In response to the invitation (Form PCT/ISA/206) to pay additional fees the applicant has, within the applicable time limit:
paid additional fees
paid additional fees under protest and, where applicable, the protest fee
paid additional fees under protest but the applicable protest fee was not paid
not paid additional fees
2. This Authority found that the requirement of unity of invention is not complied with and chose not to invite the applicant to pay additional fees.
3. This Authority considers that the requirement of unity of invention in accordance with Rule 13.1, 13.2 and 13.3 is
complied with
not complied with for the following reasons:
This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.
Invention 1: claims 1, 2, 8-12, 23-24, 30-34, 40-42, 48-49, 55-65, 70, 75-76 and 81-82, limited to the gene E-cadherin and SEQ ID NO: 3. Please note that there is an un-numbered claim between claims 70 and 71. This un-numbered claim also falls under the grouping of Invention 1.
Invention 2: claims 1, 3, 8-12, 23, 25, 30-34, 40-41, 45, 48, 50, 55-64, 66, 70, 71, 75, 77, 81 and 83, limited to the gene RAB25 and SEQ ID NO: 83.
Invention 3: claims 1, 4, 8-12, 23, 26, 30-34, 40-41, 46, 48, 51, 55-64, 67, 70, 72, 75, 78, 81 and 84, limited to the gene integrin beta 6 (ITGB6) and SEQ ID NO: 137.
Invention 4: claims 1, 4, 8-12, 23, 26, 30-34, 40-41, 46, 48, 51, 55-64, 68, 70, 73, 75, 79, 81 and 85, limited to the gene integrin beta 6 (ITGB6) and SEQ ID NO:52.
Invention 5: claims 1, 5, 8-12, 23, 27, 30-34, 40-41, 47-48, 52, 55-64, 69, 70, 74-75, 80-81 and 86, limited to the gene vimentin and SEQ ID NO: 195.
Invention 6: claims 1, 6, 8-12, 23, 28, 30-34, 40-41, 43, 48, 53, 55-64, 70, 75, 81 and 87, limited to the gene ZEB1 and SEQ ID NO: 196.
Invention 7: claims 1, 7-12, 23, 29-34, 40-41, 44, 48, 54-64, 70, 75, 81 and 88, Ilmited to the gene SIP1 and SEQ ID NO: 197.
The inventions listed as Inventions 1-7 do not relate to a single general inventive concept under PCT Rule 13.1 because under PCT Rule13.2 they lack the same or corresponding special technical feature. According to PCT Rule 13.2, unity of invention exists only when the same or corresponding technical feature is shared by all claimed inventions.
The feature common to all of the claims is the detection of genes whose expression is correlated with sensitivity to an antibody that binds to EGFR. However, this common feature is known in the art and cannot serve as the special technical feature. The article entitled 'Biomarkers for prediction of sensitivity to EGFR inhibitors in non-small cell lung cancer' by Hirsch et al. (Hirsch et al., Biomarkers for prediction of sensitivity to EGFR inhibitors in non-small cell lung cancer, Current Opinion in Oncology, March 2005, Vol 17, No 2, pp 118-122) discloses the detection of genes whose expression is correlated with sensitivity to an antibody that binds to EGFR (p 118, abstract; p 121, Table 1). Thus, the claimed inventions do not share the same or corresponding special technical feature, and unity of invention is lacking.
In this case, the first named invention and first named species that will be searched without additional fees is Invention 1 represented by claims 1, 2, 8-12, 23-24, 30-34, 40-42, 48-49, 55-63, 64a, 64b, 65a, 65b, 70, 75-76 and 81-82, limited to the gene E-cadherin and SEQ ID NO: 3.
In order for more than the above inventions to be examined, the appropriate additional examination fees must be paid and the desired species clearly identified.
4. Consequently, this opinion has been established in respect of the following parts of the international application:
all parts all parts 1-2, 8-12, 23-24, 30-34, 40-42, 48-49, 55-63, 64a/b, 65a/b, 70, 75-76 and 81-82, 89
the parts relating to claims Nos.

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

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1. Statement			
Novelty (N)	Claims	10-12,32-34,55-60, 63,64a/b,65a/b,70,75-76,81-82,89	_ YES
, , ,	Claims	1,2, 8-9, 23-24, 30-31, 40-42, 48-49, 61-62	_ NO
Inventive step (IS)	Claims	none	YES
machinae sieb (12)	Claims	1-2, 8-12, 23-24, 30-34 (see continuation below)	_ NO
(material applicability (IA)	Claima	1, 2, 8-12, 23-24, 30-34 (see continuation below)	VES
Industrial applicability (IA)	Claims Claims	none	_ YES _ NO
dustrial applicability (IA)			
b Haley et al. (hereinafter 'Haley'). Regarding claim 1, Haley discloses a diagonal providing a sample of cancer cells of eneasuring the level of a candidate epither of the expression ensitivity or resistance to an antibody the identification of the expression levels of a tumor cell; and the expression levels of the ex	8, 49, 61, 62 li gnostic metho pithelial origin of at least on at binds EGFF d predicting the elial biomarke	bd, comprising: In from a patient to be tested (para [0020] - Ir in neoplastic cell-containing samples from patients with a neoplastic or In gene chosen from a panel of genes whose expression has been cor In wherein the gene is E-cadherin (para [0016] - 'assessing the level of In e sensitivity of tumor cell growth to inhibition by an EGFR kinase inhibitors include but are not limited to. IMC-C225 (also known as celuximab of	condition'); related wit f an epithe ltor, where b'; para [01
dustrial applicability (IA) ES 40-42, 48-49, 55-63, 64a, 64b, 65 daims 1, 2, 8, 9, 23, 24, 30, 31, 40-42, 4b Haley et al. (hereinafter 'Haley'). degarding claim 1, Haley discloses a dialy providing a sample of cancer cells of e neasuring the level of a candidate epithe detecting in the sample the expression ensitivity or resistance to an antibody the lomarker expressed by a tumor cell; and igh expression levels of tumor cell epith 'Suitable monoclonal antibody EGFR kir RBITUX.TM.; Imclone Systems)'; para [nd) comparing the level of expression of al as been correlated with sensitivity or resi	8, 49, 61, 62 li gnostic metho pithelial origin elial biomarkei n of at least on at binds EGFF d predicting the elial biomarke nase inhibitors [0025] - 'NSCI t least one gei sistance to the	lack novelty under PCT Article 33(2) as being anticipated by US 2006/0 ad, comprising: In from a patient to be tested (para [0020] - Ir in neoplastic cell-containing samples from patients with a neoplastic cell-gene chosen from a panel of genes whose expression has been cor R, wherein the gene is E-cadherin (para [0016] - 'assessing the level of e sensitivity of tumor cell growth to inhibition by an EGFR kinase Inhibitors correlate with high sensitivity to Inhibition by EGFR kinase Inhibitors include, but are not limited to, IMC-C225 (also known as cetuximab of LC lines sensitive to EGF receptor inhibition express elevated levels of the detected in the patient sample to a level of expression of at least on a antibody that binds EGFR (para [0039] - 'compare E-cadherin levels in	condition'); related wit f an epithe Itor, where by para [01 reference the
dustrial applicability (IA) ES 40-42, 48-49, 55-63, 64a, 64b, 65 laims 1, 2, 8, 9, 23, 24, 30, 31, 40-42, 4 b Haley et al. (hereinafter 'Haley'). egarding claim 1, Haley discloses a dially providing a sample of cancer cells of eneasuring the level of a candidate epithe of discloses a dially detecting in the sample the expression ensitivity or resistance to an antibody the iomarker expressed by a tumor cell; and igh expression levels of tumor cell epithe 'Suitable monoclonal antibody EGFR kin RBITUX.TM.; Imclone Systems)'; para [Ind of the comparing the level of expression of all as been correlated with sensitivity or resensitive and relatively insensitive tumor tegarding claim 2, Haley further discloses	gnostic metho pithelial originelial biomarker of or of at least on at binds EGFF dipredicting the elial biomarker nase inhibitors (0025) - 'NSCL t least one gersistance to the cells in FIGS.	lack novelty under PCT Article 33(2) as being anticipated by US.2006/0 ad, comprising: In from a patient to be tested (para [0020] - Ir in neoplastic cell-containing samples from patients with a neoplastic of the gene chosen from a panel of genes whose expression has been cored. Wherein the gene is E-cadherin (para [0016] - 'assessing the level of the sensitivity of tumor cell growth to inhibition by an EGFR kinase inhibitors correlate with high sensitivity to inhibition by EGFR kinase inhibitors include, but are not limited to, IMC-C225 (also known as cetuximable LC lines sensitive to EGF receptor inhibition express elevated levels of the detected in the patient sample to a level of expression of at least one antibody that binds EGFR (para [0039] - 'compare E-cadherin levels in 2B, 3 and 5'). Expression of E-cadherin (para [0039] - 'compare E-cadherin levels between the comparation of the c	condition') related wi f an epithe itor, where r r E-cadher ne gene th between
dustrial applicability (IA) (IES 40-42, 48-49, 55-63, 64a, 64b, 65) (Iaims 1, 2, 8, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 1, 2, 8, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 1, 2, 8, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 1, 2, 8, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 1, 2, 8, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 1, 2, 8, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 1, 2, 8, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 1, 2, 8, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 2, 8, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 2, 8, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 3, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 4, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 4, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 5, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 6, 9, 23, 24, 30, 31, 40-42, 4b) (Iaims 1, 2, 8, 9, 23, 24, 30, 31, 4b) (Iaims 1, 8, 8, 8, 8, 8, 8, 8, 8, 8, 8,	8, 49, 61, 62 lignostic metho pithelial origin of at least on at binds EGFd predicting the elial biomarken ase inhibitors (0025) - 'NSCL t least one gersistance to the cells in FIGS.	lack novelty under PCT Article 33(2) as being anticipated by US.2006/0 od, comprising: in from a patient to be tested (para [0020] - r in neoplastic cell-containing samples from patients with a neoplastic of the gene chosen from a panel of genes whose expression has been cor R, wherein the gene is E-cadherin (para [0016] - 'assessing the level of the sensitivity of furnor cell growth to inhibition by an EGFR kinase inhibitors are correlate with high sensitivity to inhibition by EGFR kinase inhibitors include, but are not limited to, IMC-C225 (also known as cetuximable the content of the patient sample to a level of expression of at least on the antibody that binds EGFR (para [0039] - 'compare E-cadherin levels in 2B, 3 and 5').	condition') related wi f an epithe itor, where '; para [0' r E-cadher ne gene th between

Regarding claim 23, Haley discloses a method of detecting sensitivity of an epithelial-origin cancer to an antibody the binds EGFR comprising:

a) detecting in a sample of tumor cells from a patient to be tested, the expression of E-cadherin (para [0020] - 'measuring the level of a candidate epithelial biomarker in neoplastic cell-containing samples from patients with a neoplastic condition'; para [0025] - 'NSCLC lines candidate epithelial biomarker in neoplastic cell-containing samples from patients with a neoplastic condition'; para [0025] - 'NSCLC lines candidate epithelial biomarker in neoplastic cell-containing samples from patients with a neoplastic condition'; para [0025] - 'NSCLC lines candidate epithelial biomarker in neoplastic cell-containing samples from patients with a neoplastic condition'; para [0025] - 'NSCLC lines candidate epithelial biomarker in neoplastic cell-containing samples from patients with a neoplastic condition'; para [0025] - 'NSCLC lines candidate epithelial biomarker in neoplastic cell-containing samples from patients with a neoplastic condition'; para [0025] - 'NSCLC lines candidate epithelial biomarker in neoplastic cell-containing samples from patients with a neoplastic condition'; para [0025] - 'NSCLC lines candidate epithelial biomarker in neoplastic cell-containing samples from patients with a neoplastic condition'; para [0025] - 'NSCLC lines cell-containing samples from patients with a neoplastic cel

sensitive to EGF receptor inhibition express elevated levels of E-cadherin');
b) comparing the level of expression of the one or more genes detected in the patient sample to a gene expression level of E-cadherin that has been correlated with sensitivity or resistance to an antibody that binds EGFR (para[0039] - 'compare E-cadherin levels between' sensitive and relatively insensitive tumor cells in FIGS. 2B, 3 and 5'; para [0016] - 'assessing the level of an epithelial biomarker expressed by a tumor cell; and predicting the sensitivity of tumor cell growth to inhibition by an EGFR kinase inhibitor, wherein high expression levels of tumor cell epithelial biomarkers correlate with high sensitivity to inhibition by EGFR kinase inhibitors '); and

c) identifying the expression level of the one or more genes detected in the patient sample that are statistically more similar to the expression level of E-cadherin that has been correlated with sensitivity than to the the expression levels that have been correlated with resistance (para [0016] - 'assessing the level of an epithelial biomarker expressed by a tumor cell; and predicting the sensitivity of tumor cell growth to Inhibition by an EGFR kinase inhibitor, wherein high expression levels of tumor cell epithelial biomarkers correlated with high sensitivity to Inhibition by EGFR kinase Inhibitors'; para [0190] - 'Suitable monoclonal antibody EGFR kinase inhibitors include, but are not limited to, IMC-C225 (also known as cetuximab or ERBITUX.TM.; Imclone Systems)'; para [0025] - 'NSCLC lines sensitive to EGF receptor inhibition express elevated levels of E-cadherin').

--Please See Continuation Sheet--

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 08/70930

Box No. VIII Certain observations or	ı the international application
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The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

There is an un-numbered claim between claims 70 and 71. For the purpose of this opinion, the un-numbered claim has been designated "claim 89".

The claim 60 shoud be The method of claim 55, wherein the EGFR inhibitor is semaxinib instead of The method of claim 55, wherein the EGFR inhibitor is semazinib.

The claim numbers 64-65 have been duplicated. For the purpose of this opinion, the first set have been designated as claims 64a and 65a, and the second set as claims 64b and 65b.

Form PCT/ISA/237 (Box No. VIII) (April 2007)

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 08/70930

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box No. V(2) -- citations and explanations

Regarding claim 24, Haley further discloses wherein the gene is E-cadherin (para[0039]).

Regarding claim 30, Haley further discloses wherein the antibody is cetuximab (para [0190]).

Regarding claim 31, Haley further discloses wherein the antibody is cetuximab (para [0190]).

Regarding claim 40, Haley discloses a kit comprising reagents for the detection of expression levels that have been correlated with sensitivity or resistance to an EGFR inhibitor of E-cadherin (para [0133] - 'kits for detecting the presence of a biomarker protein or nucleic acid in a biological sample. Such kits can be used to determine if a subject is less susceptible to inhibition by EGFR kinase inhibitors. For example, the kit can comprise a labeled compound or agent capable of detecting a biomarker protein or nucleic acid in a biological sample and means for determining the amount of the protein or mRNA in the sample'; para[0039] - 'compare E-cadherin levels between sensitive and relatively insensitive turnor cells in FIGS. 2B, 3 and 5').

Regarding claim 41, Haley further discloses the kit further comprsing a compitation comprising E-cadherin expression levels that have been correlated with sensitivity or resistance to an EGFR inhibitor (para [0016] - 'assessing the level of an epithelial blomarker expressed by a tumor cell; and predicting the sensitivity of tumor cell growth to inhibition by an EGFR kinase inhibitor, wherein high expression levels of tumor cell epithelial biomarkers correlate with high sensitivity to inhibition by EGFR kinase Inhibitors'; para [0025] - 'NSCLC lines consistivity to inhibition by EGFR kinase Inhibitors'; sensitive to EGF receptor inhibition express elevated levels of E-cadherin').

Regarding claim 42, Haley further discloses wherein the gene is E-cadherin (para[0039]).

Regarding claim 48, Haley discloses a method of treating cancer in a patient (para [0018]), comprising:
a) detecting the expression levels of E-cadherin (para [0025] - 'NSCLC lines sensitive to EGF receptor inhibition express elevated levels of

b) administering an EGFR inhibitor (para [0018] - 'administering to said patient a therapeutically effective amount of an EGFR kinase inhibitor').

Regarding claim 49, Haley further discloses wherein the gene is E-cadherin (para[0039]).

Regarding claim 61, Haley further discloses wherein the EGFR inhibitor is cetuximab (para [0190]).

Regarding claim 62, Haley further discloses wherein the EGFR inhibitor is cetuximab (para [0190]).

Claims 10, 12, 32, 34, 55-60, 63, 65a lack an inventive step under PCT Article 33(3) as being obvious over Haley in view of US 2007/0020261 A1 to Sliwkowski et al. (hereinafter 'Sliwkowski').

Regarding claim 10, Haley discloses the method of claim 8, but does not specifically disclose that the antibody is panitumumab. However, Sliwkowski discloses a treatment of tumor comprising administering to a human subject with an EGFR inhibitor wherein the antibody is panitumumab (para [0087] - 'human antibodies that bind EGFR, such as ABX-EGF or Panitumumab'). It would have been obvious to one of ordinary skill in the art to combine Haley and Sliwkowski in order to develop the method as set forth in the claim 10 because Sliwkowski suggests that panitumumab was well known in the art as an EGFR antibody (Sliwkowski para [0087]).

Regarding claim 12, Sliwkowski further discloses wherein the antibody is matuzumab (para [0087] - 'EMD7200 (matuzumab) a humanized EGFR antibody directed against EGFR').

Regarding claim 32, Haley teaches the method of claim 30, but does not specifically disclose that the antibody is panitumumab. However, Sliwkowski discloses a treatment of tumor comprising administering to a human subject with an EGFR inhibitor, wherein the antibody is panitumumab (para [0087] - 'human antibodies that bind EGFR, such as ABX-EGF or Panitumumab'). It would have been obvious to one of ordinary skill in the art to combine Haley and Sliwkowski in order to develop the method as set forth in the claim 32 because Sliwkowski suggests that panitumumab was well known in the art as an EGFR antibody (Sliwkowski para [0087]).

Regarding claim 34, Sliwkowski further discloses wherein the antibody is matuzumab (para [0087]).

Regarding claim 55, Haley discloses the method of claim 48, but does not specifically disclose that the EGFR inhibitor is gefitinib. However, Sliwkowski discloses a treatment of tumor comprising administering to a human subject with an EGFR inhibitor wherein the EGFR inhibitor is gefitinib (para[0087] - 'EGFR antagonists gefitinib'). It would have been obvious to one of ordinary skill in the art to combine Haley and Sliwkowski in order to develop the method as set forth in the claim 55 because Sliwkowski suggests that gefitinib was well known in the art as an EGFR antibody (Sliwkowski para [0087]).

Regarding claim 56, Sliwkowski further discloses wherein the EGFR inhibitor is gelitinib (para[0087] - 'EGFR antagonists gelitinib').

Regarding claim 57, Sliwkowski further discloses wherein the EGFR inhibitor is erlotinib (para [0044] - 'In yet another specific embodiment, the EGFR inhibitor erlotinib').

Regarding claim 58, Sliwkowski further discloses wherein the EGFR inhibitor is imatinib (para [0201] - 'inhibitors such as Imatinib').

-Please See Continuation Sheet--

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US 08/70930

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box No. V(2) – citations and explanations

Regarding claim 59, Sliwkowski further discloses wherein the EGFR inhibitor is lapatinib (para [0084] - 'HER2 and EGFR dual tyrosine kinase inhibitors such as lapatinib').

Regarding claim 60, Sliwkowski further discloses wherein the EGFR inhibitor is semaxinib (para [0201] - 'inhibitors include the EGFRtargeted drugs Semaxinib (Sugen)').

Regarding claim 63, Sliwkowski further discloses wherein the EGFR inhibitor is panitumumab (para (0087) - 'human antibodies that bind EGFR, such as ABX-EGF or Panitumumab').

Regarding claim 65a, Sliwkowski further discloses wherein the EGFR inhibitor is metuzumab (para [0087] - 'EMD7200 (matuzumab) a humanized EGFR antibody directed against EGFR').

Claims 11, 33, 64a lack an inventive step under PCT Article 33(3) as being obvious over Haley in view of the article entitled "Nimotuzumab: Evidence of Clinical Benefit Without Rash" by Allan (hereinafter 'Allan').

Regarding claim 11. Hatey discloses the method of claim 8, but does not specifically disclose that the antibody is nimotuzumab. However, Allan discloses the epidermal growth factor receptor (EGFR) targeting monoclonal antibody nimotuzumab (pg 760, col 1, para 1). It would have been obvious to one of ordinary skill in the art to combine Haley and Allan in order to develop the method as set forth in the claim 11 because Allan suggests that nimotuzumab was well known in the art as an EGFR antibody (Allan pg 760, col 1, para 1).

Regarding claim 33, Haley discloses the method of claim 30, but does not specifically disclose wherein the antibody is nimotuzumab. However, Allan discloses the epidermal growth factor receptor (EGFR) targeting monoclonal antibody nimotuzumab (pg 760, col 1, para 1). It would have been obvious to one of ordinary skill in the art to combine Haley and Allan in order to develop the method as set forth in the claim 33 because Allan suggests that nimotuzumab was well known in the art as an EGFR antibody (Allan pg 760, col 1, para 1).

Regarding claim 64a, Hatey discloses the method of claim 61, but does not specifically disclose that the EGFR inhibitor is nimotuzumab. However, Allan discloses the epidermal growth factor receptor (EGFR) targeting monoclonal antibody nimotuzumab (pg 760, col 1, para 1). It would have been obvious to one of ordinary skill in the art to combine Haley and Allan in order to develop the method as set forth in the claim 64 because Allan suggests that nimotuzumab was well known in the art as an EGFR antibody (Allan pg 760, col 1, para 1).

Claims 64b, 65b, 70, 75, 76, 81, 82, 89 lack an inventive step under PCT Article 33(3) as being obvious over Haley in view of US 2002/0045591 A1 to Geiger et al. (hereinafter 'Geiger').

Regarding claim 64b, Haley discloses the method of claim 1, but does not specifically disclose wherein the one or more genes is of Ecadherin (SEQ ID NO: 3). However, Geiger discloses methods and therapeutic compositions for the treatment of cancer wherein the one or more genes is of E-cadherin (SEQ ID NO: 3) (para [0101] - 'a polynucleotide which comprises a nucleotide sequence encoding a cytoplasmic portion of cadherin. Preferably, the nucleotide sequence includes SEQ ID NO: 47', SEQ ID NO: 47, which exhibits 100% homology to SEQ ID NO: 3). It would have been obvious to one of ordinary skill in the art to combine Haley and Gelger in order to develop the method as set forth in the claim 64b because Haley teaches a method employing a E-cadherin gene and Geiger teaches a sequence for a E-cadherin gene (Geiger para [0101]).

Regarding claim 65b, Geiger further discloses detecting the expression of E-cadherin (SEQ ID NO: 3) (para [0101]; SEQ ID NO: 47, which exhibits 100% homology to SEQ ID NO: 3).

Regarding claim 70, Haley discloses the method of claim 23, but does not specifically disclose wherein the one or more genes is of Ecadherin (SEQ ID NO: 3). However, Geiger discloses methods and therapeutic compositions for the treatment of cancer wherein the one or more genes is of E-cadherin (SEQ ID NO: 3) (para [0101] - 'a polynucleotide which comprises a nucleotide sequence encoding a cytoplasmic portion of cadherin. Preferably, the nucleotide sequence includes SEQ ID NO: 47; SEQ ID NO: 47, which exhibits 100% homology to SEQ ID NO: 3). It would have been obvious to one of ordinary skill in the art to combine Haley and Geiger in order to develop the method as set forth in the claim 70 because Haley teaches a method employing a E-cadherin gene and Geiger teaches a sequence for a E-cadherin gene (Geiger para [0101]).

Regarding claim 75, Haley the kit of claim 40, but does not specifically disclose that the one or more genes is of E-cadherin (SEQ ID NO: 3). However, Geiger discloses methods and therapeutic compositions for the treatment of cancer wherein the one or more genes is of Ecadherin (SEQ ID NO: 3) (para [0101] - 'a polynucleotide which comprises a nucleotide sequence encoding a cytoplasmic portion of cadherin. Preferably, the nucleotide sequence includes SEQ ID NO: 47'; SEQ ID NO: 47, which exhibits 100% homology to SEQ ID NO: 3). It would have been obvious to one of ordinary skill in the art to combine Haley and Gelger in order to develop the method as set forth in the claim 75 because Haley teaches a method employing a E-cadherin gene and Geiger teaches a sequence for a E-cadherin gene (Geiger para [0101]).

Regarding claim 76, Geiger further discloses wherein the gene is E-cadherin (SEQ ID NO: 3) (para [0101] - 'a polynucleotide which comprises a nucleotide sequence encoding a cytoplasmic portion of cadherin. Preferably, the nucleotide sequence includes SEQ ID NO: 47'; SEQ ID NO: 47, which exhibits 100% homology to SEQ ID NO: 3).

-Please See Continuation Sheet-

PCT/US2008/070930 06.02.2009

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

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Supplemental Box

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Continuation of: Box No. V(2) — citations and explanations

Regarding claim 81, Haleydoes not specifically disclose that the one or more genes is of E-cadherin (SEQ ID NO: 3). However, Geiger discloses. Methods and therapeutic compositions for the treatment of cancer whenin the one or more genes is of E-cadherin (SEQ ID NO: 3) (para [0101], a polynucleotide which comprises a nucleotide sequence encoding a cytoplasmic portion of cadherin. Preferably, the nucleotide sequence includes SEQ ID NO: 47.). It would have been obvious to one of ordinary skill in the art to combine Haleydoes and Geiger in order to develop the method as set forth in the claim 81 because E-cadherin (SEQ ID NO: 3) was well known in the art (para

Regarding claim 82, Geiger further discloses that the gene is E-cadherin (SEQ ID NO: 3) (para [0101],a polynucleotide which comprises a nucleotide sequence encoding a cytoplasmic portion of cadherin. Preferably, the nucleotide sequence includes SEQ ID NO: 47.).

Regarding claim 89, Geiger further discloses detecting the expression of E-cadherin (para [0101] - 'a polynucleotide which comprises a nucleotide sequence encoding a cytoplasmic portion of cadherin. Preferably, the nucleotide sequence includes SEQ ID NO: 47'; SEQ ID NO: 47, which exhibits 100% homology to SEQ ID NO: 3).

Claims 1, 2, 8-12, 23-24, 30-34, 40-42, 48-49, 55-65, 70, 75-76 and 81-82, 89 have industrial applicability as defined by PCT Article 33(4) because the subject matter can be made or used in industry.

Form PCT/ISA/237 (Supplemental Box) (April 2007)